

ULSTER COUNTY TRANSPORTATION COUNCIL

FINAL UNIFIED PLANNING WORK PROGRAM

SFY 2010



Approved March 18, 2010

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RESOLUTION 2010-2

Adopt the UCTC's SFY 2010 Unified Planning Work Program

WHEREAS, the Ulster County Transportation Council (UCTC) is designated by the Governor of the State of New York as the Metropolitan Planning Organization (MPO) for the Kingston Urbanized Area and a portion of the Poughkeepsie-Newburgh Urbanized Area. The UCTC, in cooperation with State and Federal transportation planning partners, as well as adjacent MPOs, is responsible for the comprehensive, continuing, and cooperative transportation planning process for Ulster County; and

WHEREAS, the development of a Unified Planning Work Program (UPWP) in conformance with Federal policy helps consolidate and coordinate the transportation planning activities conducted by member agencies by providing a mutually agreed upon document which summarizes all transportation planning activities to be performed in Ulster County; and

WHEREAS, federal surface transportation programs are the responsibility of the UCTC and authorized by the Safe, Accountable, Flexible, Efficient Transportation Equity Act of the 21st Century (SAFETEA), and

WHEREAS, a portion of Ulster County is within the federally designated Poughkeepsie-Newburgh Transportation Management Area, also known as the Mid-Hudson Valley, New York, Transportation Management Area (TMA), and shares regional transportation planning and programming responsibilities with Dutchess and Orange Counties including the coordination of a congestion management process (CMP); and

WHEREAS, in recognition of CMP responsibilities associated with the TMA, UCTC staff has included specific work program tasks within the UPWP to address adopted CMP program strategies; and

WHEREAS, the New York State Department of Transportation has agreed to apply for necessary program funding under the Federal Transit Administration Section 5303 program, the Federal Transit Administration Section 5307 (formerly Section 9) program, and the Federal Highway Administration (PL) transportation planning program in amounts consistent with this approved UPWP; and

WHEREAS, the Ulster County Transportation Council hereby certifies that the planning process complies with all applicable Federal laws and regulations including applicable requirements of 23 U.S.C. 134, Section 8 of the Urban Mass Transit Act (49 U.S.C. 1607), Sections 174 and 176(c) and

(d) of the Clean Air Act (42 U.S.C. 7504, 7506(c) and (d)).

NOW, BE IT RESOLVED, the attached SFY 2010 UPWP is hereby adopted by the Ulster County Transportation Council.

BE IT FURTHER RESOLVED, that the Policy Committee hereby authorizes and directs the MPO Director to execute and administer the SFY 2010 Unified Planning Work Program in accordance with MPO Operating Procedures and Federal and State requirements.

Date

Secretary
Ulster County Transportation Council

INTRODUCTION

The Ulster County Transportation Council (UCTC) is the designated Metropolitan Planning Organization (MPO) for the Kingston Urbanized Area and a portion of the Poughkeepsie-Newburgh Urbanized Area. The UCTC has the responsibility for developing, implementing, and maintaining a long range transportation plan, a Transportation Improvement Program (TIP), and the development and implementation of a Unified Planning Work Program (UPWP).

The purpose of a Unified Planning Work Program (UPWP) is to plan and implement a transportation planning work program supporting the goals, objectives and recommendations of the UCTC's Year 2030 Long Range Transportation Plan. The type of work specified within a UPWP includes a summary of administrative, technical and transportation planning tasks to be performed by UCTC staff. The UPWP is also a federal requirement for all MPOs.

FEDERAL REQUIREMENTS

The development of a UPWP is a federal requirement. Under Section 134 of Title 23, United States Code and Section 5303 of the Federal Transit Act of 1964, as amended by the *Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users* (SAFETEA-LU). The Congress of the United States has ruled that:

"It is in the national interest to encourage and promote the development of transportation systems embracing various modes of transportation in a manner which will efficiently maximize mobility of people and goods within and through urbanized areas and minimize transportation-related fuel consumption and air pollution. To accomplish this objective, metropolitan planning organizations, in cooperation with the State, shall develop transportation plans and programs for urbanized areas of the State. Such plans and programs shall provide for the development of transportation facilities (including pedestrian walkways and bicycle transportation facilities), which will function as an intermodal transportation system for the State, the metropolitan areas, and the Nation. The process for developing such plans and programs shall provide for consideration of all modes of transportation and shall be continuing, cooperative, and comprehensive to the degree appropriate, based on the complexity of the transportation problems."

Metropolitan Planning Organizations (MPOs) are designated for each urbanized area with a population of more than 50,000 by agreement between the Governor and local governments. As a result of the Year 2000 Census, it was determined that the greater Kingston area had achieved "metropolitan"

status. On June 4, 2003, the Governor of the State of New York together with County of Ulster and its municipalities formally designated the *Ulster County Transportation Council (UCTC)* as the MPO for the Kingston Urbanized Area. In 2004, the UCTC agreed to expand its Metropolitan Planning Area Boundary to all of Ulster County.

The latest final Metropolitan Planning Rule (23 CFR Part 450 and 49 CFR Part 613, Federal Register Volume 58, No. 207) requires that each MPO annually develop the Unified Planning Work Program (UPWP) (23 CFR §450.314). The intent of such a work plan is to coordinate all transportation-related planning activities in Ulster County. The UPWP provides a summary of the transportation-related planning activities that the MPO proposes to accomplish during the program year.

In addition to the Kingston Urbanized Area, Ulster County is part of a larger urbanized area known as the Poughkeepsie-Newburgh Urbanized Area (locally referred to as the Mid-Hudson Valley, New York, Transportation Management Area) or TMA. A TMA is defined in Titles 23 and 49 U.S. Code as urbanized areas over 200,000 in population. Portions of Dutchess, Orange, and Ulster Counties form a TMA and, as a result, receive additional Federal Highway Administration and Federal Transit Administration resources, are responsible for coordinating a congestion management process (CMP), and integrating CMP strategies into the long range transportation plan. Specific short- and long-range CMP strategies were adopted in 2005 by the TMA and incorporated into this document.

UCTC MEMBERSHIP

Final UCTC policy and decision making authority rests with its voting members at Policy Committee meetings. The Policy Committee is comprised of chief elected officials from urbanized and non-urbanized areas throughout Ulster County along with the New York State Department of Transportation and the New York State Thruway Authority. The Ulster County Executive serves as Chair of the Policy Committee. The Policy Committee is supported by Non-voting Advisory and Technical Committees comprised of municipal and transportation agency officials. In addition to permanent voting members, the UCTC voting structure includes alternating two-year voter membership. Less urbanized municipalities are paired together based upon geographic location and municipal population, and alternate every two years on June 4. UCTC voting membership is identified in Table 1.

In addition to permanent and two-year alternating voter members, the UCTC Operating Procedures identify seven (7) rural municipalities to collectively serve as one (1) rural voting member (also known as the "7 as 1" rural voter arrangement). In accordance with UCTC Operating Procedures, the Ulster County Association of Town Supervisors or UCATS appoints one Supervisor to represent the seven municipalities. Term limits for the "7 as 1" rural voting seat is determined by UCATS.

The UCTC Policy Committee is supported by a Technical Committee comprised of appointed municipal and transportation agency staff representing Ulster County municipalities and transportation agency interests. The Technical Committee monitors the operational aspects of the UCTC planning program for consistency with Federal, State, and local planning requirements, reviews technical and policy-oriented projects and programs, makes recommendations to the Policy Committee for consideration, and monitors the activities of staff.

The UCTC is supported by Non-Voting Advisory Members to assist with the planning process and help guide the Technical and Policy Committees with decision-making and policy formulation.

Table 1. UCTC Membership

<p>Permanent Voting Members Ulster County Executive, Chair City of Kingston Mayor Town of Saugerties Supervisor Town of Ulster Supervisor NYS Thruway Authority Executive Director NYSDOT Commissioner, Secretary</p> <p>Two-Year Voting Members (alternate biennially) Village of Saugerties Mayor Town of Hurley Supervisor* Town of Rosendale Supervisor Town of Esopus Supervisor* Town of Lloyd Supervisor Town of Marlborough Supervisor* Town of Plattekill Supervisor Town of Shawangunk Supervisor* Village of Ellenville Mayor Village of New Paltz Mayor* Town of New Paltz Supervisor Town of Wawarsing Supervisor* Town of Woodstock Supervisor Town of Kingston Supervisor* <i>*Current Voting Member to June 4, 2011</i></p> <p>7 as 1 Rural Voting Membership (Appointed by Ulster County Association of Town Supervisors) Town of Denning Supervisor Town of Gardiner Supervisor* Town of Hardenburgh Supervisor Town of Marbletown Supervisor Town of Olive Supervisor Town of Rochester Supervisor Town of Shandaken Supervisor <i>*Current Voting Representative</i></p> <p>Non-Voting Advisory Members Federal Highway Administration Federal Transit Administration Federal Railroad Administration NYS Bridge Authority NYS Department of Environmental Conservation</p>

The day-to-day activities of the UCTC are supported by 2.5 FTE staff and NYSDOT Region 8 Office to ensure the overall planning program is executed in a timely and efficient manner, and in accordance with Federal regulations. Ulster County is the host agency for all UCTC-related staffing and planning studies. Staff is housed within the Ulster County Office Building within the Ulster County Planning Department office. The UCTC's budget is embedded within the Ulster County Planning Department's budget and managed by the Director of the Ulster County Planning Department. Staff, equipment, supplies, rent, consulting studies, and other expenses used to support UCTC staffing operations are 95% reimbursable to Ulster County. The New York Metropolitan Transportation Council (NYMTC) provides limited staff support to the Mid-Hudson Valley Transportation Management Area (TMA).

PAST YEAR ACCOMPLISHMENTS

The Ulster County Transportation Council (UCTC) continues to plan and implement programs and projects in support of the Year 2030 Long Range Plan's goals and recommendations. Major accomplishments during the past year include the following:

- Convened regularly scheduled Technical and Policy Committee meetings;
- Convened TIP Subcommittee meetings to update the TIP;
- Facilitated the TIP amendment process;
- Worked with NYSDOT to facilitate and monitor a \$32.163 million Economic Stimulus programming process;
- Organized and facilitated the mail balloting process on several occasions;
- Assisted UCAT with onsite transit planning and reporting tasks;
- Convened the Transit Advisory Committee to develop a scope of work and request for proposals (RFP) for a City/County Transit Development Plan;
- Organized a steering committee to develop a scope of work, RFP and selected a consultant for the City of Kingston I-587 at Albany Avenue intersection study;
- Completed the City of Kingston Intermodal Facility Site Location and Conceptual Design Analysis study;
- Initiated Long Range Transportation Plan Update tasks;
- Collected and analyzed traffic count data for 81 locations throughout Ulster County and prepared an annual traffic count report in support of the Traffic Monitoring Program;
- Maintained and updated the UCTC's web site and developed project pages to keep the public apprised of specific projects and activities;
- Prepared and submitted reports to NYSDOT, FHWA and FTA as required;
- Worked closely with Ulster County transit operators and TMA staff to program FTA Section 5307 TMA funds.

SFY 2010 WORK PROGRAM

The work program areas identified below are listed by FTA Task Code for SFY 2010. All total task costs identified include New York State and Ulster County funds as a local match. All tasks proposed within this report will be performed by authorized Ulster County Planning Department and NYSDOT staff identified below.

- Dennis Doyle, Ulster County Planning Department (UCPD) Director/UCTC Staff Director (0.25 FTE)
- Bill Tobin, Principal Transportation Planner, UCPD (1.0 FTE)
- Sweta Basnet, Transportation Planner, UCPD (1.0 FTE)
- Marianne Davis, Administrative Assistant, UCPD (0.25 FTE)
- NYSDOT (0.15 FTE)

Table 2. Program Area by FTA Task Code

Program Area	FTA Task Code
Program Support and Administration	44.21.00
General Development and Comprehensive Planning	44.22.00
Long Range Planning - Project Level	44.23.00
Short Range Planning	44.24.00
Transportation Improvement Program	44.25.00
Planning Emphasis Areas	44.26.00

PROGRAM SUPPORT AND ADMINISTRATION (44.21.00)

- General Administration (44.21.01)

This task includes all program management and organizational development activities, staffing committees, planning and programming meetings, communicating and coordinating with local, County, Federal and State agencies, and general day-to-day operations of the UCTC. Also included are capital needs such as office equipment, computer and software purchases, expenses such as travel and overhead, and supplies such as paper and ink cartridges. Other program support and coordination activities under this category include TMA staff meeting participation, preparing MPO meeting agenda and minutes preparation for committee and subcommittee meetings and Title VI and Environmental Justice documentation. This task also includes activities concerning the renewal of the UCTC's MPO host agreement between Ulster County and NYSDOT (expires March 31, 2010). Task cost: \$96,000 (PL funds matched). Deliverables: Committee and subcommittee meeting agendas, minutes, NYSDOT invoices and contract documents will be prepared and circulated periodically.

- Unified Planning Work Program (UPWP) Development (44.21.02)

The Unified Planning Work Program (UPWP) is a summary of transportation planning tasks proposed annually in support of the goals, objectives, and recommendations of the UCTC's Year 2030 Long Range Transportation Plan. This task involves the assessment of work completed in the previous year, anticipated tasks and projects needing completion in the next SFY, a financial analysis of planning funds available, staffing and work load assessment, meeting with local, State and Federal officials to discuss cooperative working partnerships, and the preparation, printing and distribution of the draft and final UPWP document. Administration of UPWP amendments is also included. Task cost: \$9,225 (PL funds matched). Deliverables: Draft and Final UPWP documents will be prepared and distributed for review and adoption in February and March of 2011.

- Periodic Reporting (44.21.03)

Periodic reporting is comprised of developing progress reports and the preparation of payment reimbursement requests. Staff provides other required information to Ulster County, NYSDOT, FHWA, and FTA for periodic audits of federally funded programs and grants. Task cost: \$10,000 (PL funds matched). Deliverables: Quarterly finance reports, semiannual DBE reports and semiannual narrative progress reports will be prepared and circulated periodically.

- Public Involvement (44.21.04)

The UCTC continues to implement and evaluate public involvement strategies throughout the year. This task includes activities such as the implementation of public involvement activities, web site development and maintenance, advertisement of public meetings in the local newspapers and on cable access television, and the development of press releases. Task cost: \$5,000 (PL funds matched). Deliverables: Web site updates, press releases, public advertisements for upcoming UCTC activities will be performed periodically.

- Professional Development (44.21.05)

Professional development and periodic training helps to improve the performance of staff. Professional development opportunities periodically emerge throughout the year and the UCTC benefits when staff works to enhance knowledge and skills needed to do the work of the UCTC effectively. Types of training opportunities staff may attend in the coming year include traffic count workshops, transportation planning seminars, statewide MPO staff meetings, statewide and national planning conferences, transportation

modeling workshops, and other specialized training opportunities. Task cost: \$6,000 (PL funds matched). Deliverables: Attend the annual Association of Metropolitan Planning Organizations (AMPO) Conference in St. Louis, MO, attend annual New York State MPO Conference, and attend several regional MPO-related work shops, subscription dues to the American Planning Association and the Transportation Professional Certification Board.

GENERAL DEVELOPMENT AND COMPREHENSIVE PLANNING (44.22.00)

- Federal Functional Classification System Map Update (44.22.01)

Staff will continue to work closely with Ulster County Department of Public Works, NYSDOT and the FHWA to review and update the Ulster County Federal functional classification system map. This task involves collecting data, report writing, responding to information requests and the analysis of roadway classifications to ensure eligible rural and urban roads are appropriately designated for federal aid eligibility. Task cost: \$1,000 (PL funds matched). Deliverables: FHWA-approved functional classification system map update by September 2010.

- Census Data Analysis (44.22.02)

Staff will continue to monitor and analyze U.S. Census Bureau news and data releases and other relevant data warehouses in support of UCTC-related planning initiatives. Census data will be needed when updating the Long Range Transportation Plan. Task cost: \$12,000 (PL funds matched). Deliverables: Perform Census data analysis for LRTP Update, transit and intersection studies by September 2010.

- Traffic Monitoring Program (44.22.03)

Staff will continue to work with Ulster County Department of Public Works, NYSDOT, and local municipalities to implement the UCTC's traffic monitoring program (TMP). The TMP is an important component of the overall transportation planning process. Traffic count data is used to help calibrate the Ulster County travel demand forecasting software tool (model) and also helps to support short- and long-range Congestion Management Process (CMP) goals and objectives. An annual TMP report will be produced, distributed and made available on the UCTC web site. Task cost: \$32,937 (PL funds matched). Deliverables: A traffic count report will be prepared and circulated by February 2011.

- Congestion Management Process (44.22.04)

A Congestion Management Process (CMP) is a federal requirement for metropolitan areas with a population exceeding 200,000. Specifically, CMP is a systematic process for the management and operation of the multimodal transportation system through the utilization of travel demand reduction and operational management strategies. Dutchess, Orange and Ulster Counties share CMP responsibilities within the Mid Hudson Valley Transportation Management Area (TMA). CMP strategies identified for implementation and evaluation include identifying and monitoring recurring and non-recurring congestion using such tools as conducting an annual parking facility congestion analysis and needs assessment and performing regional public transit planning and operations analyses. Task cost: \$8,000 (PL funds matched). Deliverables: An annual park ride lot capacity analysis report will be prepared and circulated by February 2011.

- Monitor Regional Travel Time Survey (44.22.05)

Dutchess, Orange and Ulster MPOs pooled MPO planning funds to conduct a TMA travel time survey. The travel time survey effort involves retaining a consultant to collect data on approximately 896 directional roadway miles over a three county area. The project will help measure and document congestion, and help satisfy TMA congestion management process requirements. Orange County is hosting the consultant contract while Dutchess County has agreed to manage consultant activities. Task cost: \$2,000 (PL funds matched). Deliverables: Monitor consultant activities associated with travel time survey activities. Review and submit comments on draft final report by March 2011.

- TMA/CMP Chapter for the Long Range Transportation Plan (44.22.06)

NYSDOT Region 8 Office is identified in the UCTC Operating Procedures as UCTC Staff accountable to the UCTC for completing MPO-related tasks. NYSDOT Region 8 Office staffing assistance is requested to assist Ulster County Planning Department staff develop a TMA Congestion Management Process (CMP) chapter for insert into the Year 2035 Long Range Transportation Plan Update. The TMA chapter will document current TMA-related transportation congestion, strategies and policies. The TMA chapter will also document short- and long-range CMP strategies, policies and a process for evaluating the effectiveness of strategies proposed. Deliverables: Draft and final TMA chapter for insert into the UCTC's Long Range Transportation Plan Update by September 2010.

LONG RANGE PLANNING – PROJECT LEVEL (44.23.00)

- Continue with the development of a City of Kingston Citibus/Ulster County Area Transit Five Year Transit Coordination Plan (44.23.01)

Both Ulster County Area Transit (UCAT) and Kingston Citibus are in need of a comprehensive five year public transit plan. A study is needed to look closely at fixed route operations and the populations served, facilities, rolling stock, identify performance gaps, and recommend improved service routing, time tables, service policies, and financial and capital plans. The Transit Advisory Committee will provide oversight to the study effort. A consultant will be retained to provide professional public transit planning and operations expertise. Task cost: \$107,813 (FTA funds matched). Deliverables: A draft and final City/County Transit Development Plan will be developed and delivered by December 2011.

- Complete the Long-Range Transportation Plan Update (44.23.02)

Staff will continue efforts to update the Long Range Transportation Plan (LRTP). The UCTC is required to update its LRTP at least once every five (5) years. The current LRTP was adopted by the Policy Committee on September 27, 2005. The next LRTP Update is due for adoption no later than September of 2010. Tasks associated with the LRTP update process include updating and confirming the Ulster County Transportation Council's goals and objectives, develop measures of effectiveness, collect data, assess needs, perform stakeholder outreach activities, prepare for and facilitate public meetings, develop and maintain a project web site, develop population and employment forecasts to Year 2035, analyze Census journey to work data, develop maps, tables and charts, identify and prioritize federal aid eligible projects for placement on the TIP, perform year of expenditure (YOE) compliance analysis, and perform financial forecasts. NYSDOT Region 8 office staff will provide assistance for the Year 2035 Plan Update. Task cost: \$144,500 (PL funds matched). Deliverables: Draft and final updates to the Long Range Transportation Plan by September 2010.

- Complete the City of Kingston I-587 at Albany Avenue Intersection Alternatives Analysis Study (44.23.04)

The City of Kingston's I-587 at Albany Avenue intersection is in need of design improvements. Currently, left turn lanes serving north and south bound Albany Avenue traffic provide insufficient levels of service during peak travel hours. Safety and pedestrian issues also warrant an analysis of intersection safety and design alternatives. Federal funding for construction is programmed by NYSDOT to make improvements to this intersection. A

consulting group led by Fitzgerald & Halliday of Hartford, Connecticut is retained to provide professional transportation engineering, public outreach, and conceptual design work. Task cost: \$106,250 (PL funds matched). Deliverables: Draft and final I-587 at Albany Avenue Intersection Alternative Plans will be circulated by March 2011.

- Monitor the Route 209 Corridor Study (44.23.05)

The New York State Department of Transportation (NYSDOT) and the Ulster County Planning Department are co-managing the Route 209 Corridor Sustainable Transportation and Land Use Study. The corridor study area includes the Towns of Hurley, Rochester, Marbletown, Wawarsing and the Village of Ellenville. Funding for the study is identified on the TIP using 80% Federal STP Flex funds and 20% State Dedicated Funds (SDF). The UCTC's planning funds are not programmed to fund this study. Task cost: \$0. Deliverables: Monitor the study's progress and utilize data collected to support the update of the Long Range Transportation Plan.

SHORT RANGE PLANNING (44.24.00)

- Provide Technical Assistance (44.24.01)

Types of assistance include submitting oral and written comments, map production, data collection and analyses, site visits and attendance at local meetings. Task cost: \$12,750 (PL funds matched). Deliverables: Provide technical assistance to municipalities and NYSDOT and attend meetings concerning MPO-related projects periodically.

TRANSPORTATION IMPROVEMENT PROGRAM (44.25.00)

Every two years, the UCTC initiates a Transportation Improvement Program (TIP) Update process to evaluate project priorities against the goals, objectives and recommendations of the Year 2030 LRTP. The TIP is a five-year fiscally constrained financial plan for implementing prioritized transportation improvements in Ulster County.

- Complete the FFY 2011-2015 Transportation Improvement Program (TIP) Update Process (44.25.01)

The TIP will be updated using a "two tier, parallel track" method. Under this method, local and State TIP project listings will be developed independently but utilize similar TIP update processes and schedules. NYSDOT will initially update its federally funded projects for the State system. The UCTC TIP Subcommittee with UCTC staff assistance will initially update federally

funded local projects. When drafted both lists of local and State TIP projects will be presented to the TIP Subcommittee for review and comment. After the State and local draft TIP project listings are reviewed by the TIP Subcommittee, the two project listings will be merged into one draft TIP document and forwarded to the Technical and Policy Committees for review and adoption. MPO staff will organize and facilitate TIP Subcommittee meetings, facilitate public involvement efforts, and develop and distribute the draft Final and Final TIP documents. TMA programming activities and TIP amendment activities area also included within this task. Task cost: \$21,250 (PL funds matched). Deliverables: Draft and final TIP documents will be produced and circulated by September 2010.

PLANNING EMPHASIS AREAS (44.26.00)

In past years, MPOs were encouraged by the Federal Highway Administration (FHWA) and the Federal Transit Administration (FTA) to consider planning emphasis areas (PEAs) to promote priority themes in addition to the overall standard work program.

The SFY 2010 PEAs for MPOs to consider as priority themes include the following: 1) Coordination of Non-Emergency Human Service Transportation; 2) Participation of Transit Operators in Metropolitan & Statewide Planning; 3) Planning for Transit Systems Management/Operations to Increase Ridership; 4) Support Transit Capital Investment Decisions through Effective Systems Planning; and 5) Incorporating Safety & Security in Transportation Planning.

- Coordination of Non-Emergency Human Service Transportation (44.26.12)

Human Service Transportation refers to programs that provide for the basic mobility needs of certain groups. It refers, for example, to services that get people with disabilities to work, give older citizens access to medical care, and provide people joining the labor market a ride to work. On February 4, 2004, the White House signed the Executive Order on Human Service Transportation Coordination. Federal, State and local agencies are being encouraged to work together to ensure that transportation services are seamless and accessible to all Citizens. Efforts under this PEA include updating the Plan, if necessary, and assisting Ulster County Area Transit (UCAT) and Kingston Citibus implement the recommendations of the recently completed Coordinated Public Transit-Human Services Transportation Plan. Task Cost: \$213 (PL funds matched). Deliverables: Semiannual progress reports will document activity.

- Participation of Transit Operators in Metropolitan & Statewide Planning (44.26.13)

Efforts under this PEA include the close coordination of transit operators and transit operations in support of the City of Kingston Intermodal Facility Site Location and Conceptual Design Analysis. The UCTC has also established a Transit Advisory Committee to help coordinate public transit operations with public and private transit operators as well as provide transit operators a forum to discuss their concerns and needs. Task Cost: \$213 (PL funds matched). Deliverables: Semiannual progress reports will document activity.

- Planning for Transit Systems Management/Operations to Increase Ridership (44.26.14)

The UCTC will continue to encourage the City of Kingston Citibus and Ulster County Area Transit (UCAT) to develop a coordinated transit plan to help improve public transit countywide. Task Cost: \$213 (PL funds matched). Deliverables: Semiannual progress reports will document activity.

- Support Transit Capital Investment Decisions through Effective Systems Planning (44.26.15)

The UCTC will continue to support Kingston Citibus, UCAT and appropriate private carriers' capital investment decisions through the MPO planning process. Task Cost: \$213 (PL funds matched). Deliverables: Semiannual progress reports will document activity.

- Incorporating Safety & Security in Transportation Planning (44.26.16)

Staff will continue to provide staff assistance to the Ulster County Traffic Operations and Public Safety (TOPS) Committee as one way of supporting this PEA. The UCTC has completed the development of a Train Detection Study along the West Shore railroad corridor to investigate the feasibility and costs of monitoring real-time train movements throughout Ulster County. This concept, once implemented, will help to notify emergency responders and NYSDOT of at-grade railroad crossing delays and plan alternate routes to incident locations. It is envisioned this concept will eventually be used to allow public transit operators and the public make informed decisions about route choices. Task Cost: \$213 (PL funds matched). Deliverables: Semiannual progress reports will document activity.

OTHER ACTIVITIES (44.27.00)

The UCTC will continue to support the payment of Association of Metropolitan Planning Organization (AMPO) annual dues and support Statewide Shared Cost Initiatives. Additionally, staff will continue to monitor statewide shared cost initiatives (SCI) funded jointly by the New York State MPO Association and NYSDOT. Examples of SCIs in past years include a review of Congestion Management Process (CMP) best practices, the facilitation of an annual transportation planning conference, and NYSMPO Association sponsored professional development and training opportunities. The NYSMPO Association and NYSDOT have agreed to set aside planning funds for agreed upon SCIs before the final allocations, developed by NYSDOT, are allocations to each New York State MPO. Task Cost: \$592 (PL funds unmatched). Funds set aside for SCIs and AMPO dues are deducted before MPO funding allocations are awarded. Deliverables: Semiannual progress reports documenting activity will be prepared and circulated.

FUNDING SUMMARY

The Unified Planning Work Program (UPWP) is a federal requirement for MPOs and is developed annually for the purpose of summarizing proposed transportation planning tasks to be performed over the next New York State Fiscal Year (April 1 to March 31). Staff support to the UCTC is funded with Federal (80%), State (15%), and County (5%) dollars as shown below.

FEDERAL AID

Federal Highway Administration (FHWA) Planning (PL) Program: Federal legislation stipulates that at least 1.25% of the Surface Transportation Program (STP) funds shall be used to support metropolitan transportation planning efforts (Title 23 USC, Section 104(1)). These funds, which are administered on a statewide basis by NYSDOT, require a 20% non-federal match. For the period April 1, 2010 to March 31, 2011 a total of **\$337,305** in PL funds have been allocated to the Ulster County Transportation Council (see Table 5, line 7, and line 11).

Federal Transit Administration (FTA) Section 5303 Program: The Federal Transit Act provides that an amount equal to approximately 0.135% of FTA capital/operating programs will be provided for metropolitan transportation planning efforts (Title 49 USC, Section 21(c)(I)). These funds are administered on a statewide basis by NYSDOT. For the period April 1, 2010 to March 31, 2011 a total of **\$54,471** in FTA Section 5303 funds are allocated to the Ulster County Transportation Council (see Table 5, line 27).

MATCHING FUNDS

New York State Department of Transportation (NYSDOT) provides a share of the non-federal matching funds for PL, SPR and Section 5303 programs (See Table 6). These funds are provided through in-kind service support for the UCTC and are not available for programming as part of UCTC's contractual expenditures.

Ulster County provides a share of the non-federal matching funds for the PL, SPR and Section 5303 programs. The local match contribution by Ulster County is about 5% (or 25% of the non-federal 20% share).

OPERATING BUDGET

The UCTC is hosted by the County of Ulster. Under a formal agreement with the State of New York, Ulster County provides "first instance" funding to the UCTC, which is reimbursed by NYSDOT, FHWA and the FTA. The operating budget for the UCTC is embedded within the Ulster County Planning Department's budget and managed by the Ulster County Planning Department Director.

CARRY OVER FUNDS

FTA 5303 and FHWA PL funds in SFY 2009 were obligated for specific projects but were not fully expended at the start of SFY 2010. The following table illustrates the amount of funds by funding type that were obligated in previous SFYs and carried over.

Table 3. Carry Over Funds by Funding Type

Year	FTA 5303 Carry Over	FHWA PL Carry Over
2008-2009	\$51,926	\$0
2009-2010*	\$47,000	\$121,647

PREVIOUS SAVINGS

A portion of FTA 5303 and FHWA PL funds went unused and unobligated (savings) at the start of the SFY 2010 as shown below.

Table 4. Previous Savings by Funding Type

Year	FTA 5303 Savings	FHWA PL Savings
2008-2009	\$0	\$241,484
2009-2010*	\$0	\$100,000

*Estimate

44	Table 6				
45	Funds Distribution				
46	SFY 2010				
47					
48	Federal Dollars	PL	FTA	TOTAL	
49					
50	Ulster County	\$434,447	\$101,471	\$535,918	
51					
52	Total Federal Program	\$434,447	\$101,471	\$535,918	
53					
54	Match Dollars	PL	FTA	TOTAL	
55					
56	Total Ulster County Match	\$27,153	\$6,342	\$33,495	
57					
58	Total NYSDOT Match	\$81,459	\$19,026	\$100,485	
59					
60	Total Matching Funds	\$108,612	\$25,368	\$133,980	
61					
62	TOTAL FEDERAL + MATCH	\$543,059	\$126,839	\$669,898	

63	Table 7			
64	Object Budgets			
65	SFY 2010			
66	FHWA Object Budget			
67				
68	Expenses	Ulster County	NYSDOT	Total
69				
70	Salaries	\$142,398	\$52,950	\$195,349
71	Fringe Benefits	\$61,602	\$28,508	\$90,110
72	Travel	\$4,800	\$0	\$4,800
73	Equipment & Supplies	\$4,000	\$0	\$4,000
74	Existing Contract Obligations	\$121,647	\$0	\$121,647
75	New Contracts	\$100,000	\$0	\$100,000
76	Indirect Costs*	\$0	\$0	\$0
77	Subtotal	\$434,447	\$81,459	\$515,906
78	TOTAL	\$461,600	\$81,459	\$543,059
79				
80	*Indirect costs are calculated as 17.2 percent of administration hours worked.			
81				
82				
83	FTA Object Budget			
84				
85	Expenses	Ulster County	NYSDOT	Total
86				
87	Salaries	\$13,961	\$12,367	\$26,328
88	Fringe Benefits	\$6,039	\$6,659	\$12,698
89	Travel	\$0	\$0	\$0
90	Equipment & Supplies	\$0	\$0	\$0
91	Carry Over (Contract Obligation)	\$0	\$0	\$0
92	New Contracts	\$81,471	\$0	\$81,471
93	Indirect Costs*	\$0	\$0	\$0
94	Subtotal	\$101,471	\$19,026	\$120,497
95	TOTAL	\$107,813	\$19,026	\$126,839
96				
97	*Indirect costs are calculated as 17.2 percent of administration hours worked.			

98	Table 8 Task Budgets for SFY 2010									
99	FHWA PL Funds									
100	Task	UCTC Staff	Contracts Obligation	New Contracts	Travel	Equip/Supplies	UCTC	UCTC*	NYS DOT	TOTAL
101	44.21.00 - Program Support & Administration	\$60,000	\$0	\$0	\$4,800	\$4,000	\$68,800	\$73,100	\$12,900	\$86,000
102	44.22.00 - Development & Comp Planning	\$31,000	\$21,647	\$0	\$0	\$0	\$52,647	\$55,937	\$9,871	\$65,809
103	44.23.00 - Long Range Planning Proj. Level	\$80,000	\$100,000	\$100,000	\$0	\$0	\$280,000	\$297,500	\$52,500	\$350,000
104	44.24.00 - Short Range Planning	\$12,000	\$0	\$0	\$0	\$0	\$12,000	\$12,750	\$2,250	\$15,000
105	44.25.00 - TIP/Capital Programming	\$20,000	\$0	\$0	\$0	\$0	\$20,000	\$21,250	\$3,750	\$25,000
106	44.26.00 - Planning Emphasis Areas	\$1,000	\$0	\$0	\$0	\$0	\$1,000	\$1,063	\$188	\$1,250
107	Total PL Program	\$204,000	\$121,647	\$100,000	\$4,800	\$4,000	\$434,447	\$461,600	\$81,459	\$543,059
108	* UCTC includes required 5% match									
109	FTA 5303 Funds									
110	Task	UCTC Staff	Contracts Obligation	New Contracts	Travel	Equip/Supplies	UCTC	UCTC*	NYS DOT	TOTAL
111	44.21.00 - Program Support & Administration	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
112	44.22.00 - Development & Comp Planning	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
113	44.23.00 - Long Range Planning Proj. Level	\$20,000	\$0	\$81,471	\$0	\$0	\$101,471	\$107,813	\$19,026	\$126,839
114	44.24.00 - Short Range Planning	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
115	44.25.00 - TIP/Capital Programming	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
116	44.26.00 - Planning Emphasis Areas	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
117	Total FTA Program	\$20,000	\$0	\$81,471	\$0	\$0	\$101,471	\$107,813	\$19,026	\$126,839
118	* UCTC includes required 5% match									
119	Summary of Program Tasks	Admin. 44.21.00	Data Coll. 44.22.00	LR Plan. 44.23.00	SR Plan. 44.24.00	TIP 44.25.00	PEAs 44.26.00	TOTAL PROGRAM		
120	FHWA PL Funds	\$86,000	\$65,809	\$350,000	\$15,000	\$25,000	\$1,250		\$543,059	
121	FTA 5303 Funds	\$0	\$0	\$126,839	\$0	\$0	\$0		\$126,839	
122	TOTAL PROGRAM	\$86,000	\$65,809	\$476,839	\$15,000	\$25,000	\$1,250		\$669,898	

123	Table 9				
124	FTA 5303 Grant Status				
125	SFY 2010				
126					
127	FTA Grant Status				
128					
129					
130	FTA Grant Number	Allocation	Programmed	Expensed	Carry Over
131	NY-80-X015 (SFY 2006)	\$48,302	\$48,302	\$48,302	\$0
132	NY-80-X016 (SFY 2007)	\$49,422	\$49,422	\$49,422	\$0
133	NY-80-X017 (SFY 2008)	\$51,926	\$51,926	\$51,926	\$0
134	NY-80-X018 (SFY 2009)	\$54,392	\$54,392	\$7,392	\$47,000
135	NY-80-X019 (SFY 2010)	\$54,471	\$54,471		
136					
137					
138					
139					
140					
141					
142					
143					
144					
145					
146					
147	Note: 100% Federal FTA Section 5303 funds				

148	Table 10 ALI Code Breakdown for Grant NY-80-X018									
149	FTA 5303 Funds for Grant NY-80-X018									
150	Task	UCTC Staff	Contracts Obligation	New Contracts	Travel	Equip/ Supplies	UCTC	UCTC*	NYS DOT	TOTAL
151	44.21.00 - Program Support & Administration	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
152	44.22.00 - Development & Comp Planning	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
153	44.23.00 - Long Range Planning Proj. Level	\$20,000	\$0	\$27,000	\$0	\$0	\$47,000	\$49,938	\$8,813	\$58,750
154	44.24.00 - Short Range Planning	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
155	44.25.00 - TIP/Capital Programming	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
156	44.26.00 - Planning Emphasis Areas	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
157	Total	\$20,000	\$0	\$27,000	\$0	\$0	\$47,000	\$49,938	\$8,813	\$58,750
158	* UCTC includes required 5% match									
149	Table 11 ALI Code Breakdown for Grant NY-80-X019									
150	FTA 5303 Funds for Grant NY-80-X019									
151	Task	UCTC Staff	Contracts Obligation	New Contracts	Travel	Equip/ Supplies	UCTC	UCTC*	NYS DOT	TOTAL
152	44.21.00 - Program Support & Administration	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
153	44.22.00 - Development & Comp Planning	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
154	44.23.00 - Long Range Planning Proj. Level	\$0	\$0	\$54,471	\$0	\$0	\$54,471	\$57,875	\$10,213	\$68,089
155	44.24.00 - Short Range Planning	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
156	44.25.00 - TIP/Capital Programming	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
157	44.26.00 - Planning Emphasis Areas	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
158	Total	\$0	\$0	\$54,471	\$0	\$0	\$54,471	\$57,875	\$10,213	\$68,089
159	* UCTC includes required 5% match									
160										
161	Total FTA Program	\$20,000	\$0	\$81,471	\$0	\$0	\$101,471	\$107,813	\$19,026	\$126,839

162	Table 12 ALI Code Breakdown For Grant NY-80-X020									
163	FTA 5303 Funds for Grant NY-80-X020									
164	Task	UCTC Staff	Contracts Obligation	New Contracts	Travel	Equip/ Supplies	UCTC	UCTC*	NYSDOT	TOTAL
165	44.21.00 - Program Support & Administration	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
166	44.22.00 - Development & Comp Planning	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
167	44.23.00 - Long Range Planning Proj. Level	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
168	44.24.00 - Short Range Planning	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
169	44.25.00 - TIP/Capital Programming	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
170	44.26.00 - Planning Emphasis Areas	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
171	Total	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
172	* UCTC includes required 5% match									

162	Table 13 Planning Emphasis Areas (PEAs) Sub-Category Breakdown SFY 2010									
163	PL Funds									
164	PEA Task	UCTC Staff	Contracts Obligation	New Contracts	Travel	Equip/ Supplies	UCTC	UCTC*	NYS DOT	TOTAL
165	44.26.12 - Coord. of Non-Emergency Human Services Transp	\$200	\$0	\$0	\$0	\$0	\$200	\$213	\$38	\$250
166	44.26.13 - Participation for Transit Operators Metro and Statewide	\$200	\$0	\$0	\$0	\$0	\$200	\$213	\$38	\$250
167	44.26.14 - Planning for Transit Systems Mgmt. and Oper.	\$200	\$0	\$0	\$0	\$0	\$200	\$213	\$38	\$250
168	44.26.15 - Support Transit Capital Development Investment Decisions	\$200	\$0	\$0	\$0	\$0	\$200	\$213	\$38	\$250
169	44.26.16 - Incorporating Safety & Security in Transportation Planning	\$200	\$0	\$0	\$0	\$0	\$200	\$213	\$38	\$250
170		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
171	Total	\$1,000	\$0	\$0	\$0	\$0	\$1,000	\$1,063	\$188	\$1,250
172	* UCTC includes required 5% match									
173	All FTA 5303 Funds									
174	Task	UCTC Staff	Contracts Obligation	New Contracts	Travel	Equip/ Supplies	UCTC	UCTC*	NYS DOT	TOTAL
175	44.26.12 - Coord. of Non-Emergency Human Services Transp	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
176	44.26.13 - Participation for Transit Operators Metro and Statewide	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
177	44.26.14 - Planning for Transit Systems Mgmt. and Oper.	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
178	44.26.15 - Support Transit Capital Development Investment Decisions	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
179	44.26.16 - Incorporating Safety & Security in Transportation Planning	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
180		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
181	Total	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
182	* UCTC includes required 5% match									