

**Ulster County  
Department of Social Services  
Early Intervention & Preschool Special Education Services**

**NOTICE OF PRIVACY PRACTICES**

This notice describes the privacy practices of Ulster County and the privacy rights of the persons we serve. It will describe how information about you may be used and disclosed and how you can get access to this information.

The Health Insurance Portability and Accountability Act (HIPAA) Privacy Rule DOES NOT CHANGE the way you get services from Ulster County or the privacy rights you have always had under other federal and state laws. The Privacy Rule adds some details about how you can exercise your rights.

**PLEASE REVIEW THIS NOTICE CAREFULLY.**

This notice is effective as of September 23, 2013

**Our Privacy Commitment to You:**

Ulster County provides many different services to you. We understand that information about you and your family is personal. We are committed to protecting your privacy and sharing information only with those who need to know and are allowed to see the information to assure quality services for you. Ulster County is required by law to maintain the privacy of your health information and to provide you with notice of its legal duties and privacy practices with respect to your health information. This notice tells you how Ulster County uses and discloses information about you. It describes your rights and what Ulster County's responsibilities are concerning information about you. When we use the word "you" in this Notice, we also mean your personal representative. Depending on your circumstances and in accordance with state law, this may mean your guardian, your health care proxy, or your involved family member(s).

If you have questions about any part of this Notice, or if you want more information about the privacy practices at Ulster County, please contact:

Philip Cataldi, Esq., Privacy Officer  
Ulster County Department of Social Services  
1061 Development Court  
Kingston, NY 12401-1959  
(845) 334-5290  
pcat@co.ulster.ny.us:

**Who will follow this Notice:**

All people who work for Ulster County will follow the practices stated in this Notice. This includes employees, persons Ulster County contracts with, who are authorized to enter information in your record or need to review your record to provide services to you, and volunteers who Ulster County allows to assist you.

### **What information is protected:**

All information that we create or keep that relates to your health or care and treatment, including but not limited to your name, address, birth date, social security number, your medical information, your service or treatment plan, and other information (including photographs or other images) about your care in our programs, is considered protected information. In this Notice, we refer to protected information as protected health information or "PHI". We create and collect information about you and we keep a record of the care and services you receive through this agency. The information about you is kept in a record; it may be in the form of paper documents in a chart or on a computer. We refer to the information that we create, collect, and keep as a "record" in this Notice.

### **Your Health Information Rights:**

Unless otherwise required by law, your "Record" is the physical property of Ulster County, but the information in it belongs to you, and you have the right to have your information kept confidential. You have the following rights concerning your PHI:

- You have a right to see or inspect your PHI and obtain a copy of the information. Some exceptions apply, such as information compiled for use in court or administration proceedings. NOTE: Ulster County requires you to make your request for Records in writing to the Privacy Officer. You may request copies in paper format or in an electronic form such as a CD, portable device, or memory stick. In some instances, we may charge you for copies.
- If we deny your request to see your information, you have the right to request a review of that denial. The Privacy Officer will appoint a licensed health care professional to review the Record and decide if you may have access to the Record.
- You have the right to ask Ulster County to change or amend information that you believe is incorrect or incomplete. We may deny your request in some cases, for example, if the Record was not created by Ulster County, or if after reviewing your request, we believe the record is accurate and complete.
- You have the right to restrict the disclosure of PHI to a health insurer or health plan if you paid for the service completely out-of-pocket.
- You have the right to request a list of the disclosures that Ulster County has made of your PHI. The list, however, does not include certain disclosures, such as those made for treatment, payment, and health care operations, or disclosures made to you or made to others with your permission.
- You have the right to request a restriction on uses or disclosures of your health information related to treatment, payment, health care operations, and disclosures to involved family. Ulster County, however, is not required to agree to your request.
- You have the right to request that Ulster County communicates with you in a way that will help keep your information confidential. You may request alternate ways of communication with you or request that communications are forwarded to alternative locations.
- You will be notified if there is a breach of unsecured PHI containing your information; we are required by federal law to provide notification to you.
- To request access to your clinical information or to request any of the rights listed here, you may contact:

Philip Cataldi, Esq., Privacy Officer

Ulster County Department of Social Services  
1061 Development Court  
Kingston, NY 12401-1959  
(845) 334-5290  
pcat@co.ulster.ny.us:

We will require you to submit your requests in writing to the Privacy Officer.

***NOTE: Other regulations may restrict access to HIV/AIDS information, educational records, and federally protected drug and alcohol information. See any special authorizations or consent forms that will specify what information may be released and when, or contact the Privacy Officer listed above.***

### **Our Responsibilities to You:**

We are required to:

- Maintain the privacy of your information in accordance with federal and state laws.
- Give you this Notice that tells you how we will keep your information private.
- Tell you if we are unable to agree to a limit on the use or disclosure that you request.
- Carry out reasonable requests to communicate information to you by special means or at other locations.
- Get your written permission to use or disclose your information except for the reasons explained in this Notice.

We have the right to change our practices regarding the information we keep. If practices are changed, we will tell you by giving you a new notice. Notices will be posted on our website:

<http://www.co.ulster.ny.us/social-services/early-intervention.html>

### **How Ulster County Uses and Discloses Your Health Information:**

Ulster County may use and disclose information without your permission for the purposes described below. For each of the categories of uses and disclosures, we explain what we mean and offer an example. Not every use or disclosure is described, but all of the ways we will use or disclose information will fall within these categories.

- **Treatment:** Ulster County will use your information to provide you with treatment and services. We will disclose your information to provide appointment reminders. We may disclose information to early intervention and other special education professionals (such as, but not limited to, special educators, physical therapists, occupational therapists, speech language pathologists), doctors, nurses, social workers, case managers, and other Ulster County personnel, volunteers, or interns who are involved in providing your care. For example, involved staff may discuss your information to develop and carry out your treatment or service plan and other Ulster County staff may share your information to coordinate different services you need, such as medical tests, and transportation, etc. We may also need to disclose your information to other providers outside of Ulster County who are responsible for providing you with services.
- **Payment:** Ulster County will use your information so that we can bill and collect payment from you, a third party, an insurance company, Medicare or Medicaid, or other

government agencies. For example, we may need to provide your health care insurer with information about the services you received through one of our programs so they will pay us for the services. In addition, we may disclose your information to receive prior approval for payment for services you may need.

- **Health Care Operations:** Ulster County will use clinical information for administrative operations. These uses and disclosures are necessary to operate Ulster County programs and to make sure all individuals receive appropriate, quality care. For example, we may use information for quality improvement to review our treatment and services and to evaluate the performance of our staff in serving you.

We may also disclose information to clinicians and other personnel for on-the-job training. We will share your health information with other Ulster County staff for the purposes of obtaining legal services from our attorneys, conducting fiscal audits, and for fraud and abuse detection and compliance through our Compliance Program. We may also disclose information to our business partners who need access to the information to perform administrative or professional services on our behalf. Please refer to the following:

- **Ulster County DSS/Early Intervention Program - Confidentiality Guidelines**
- **Ulster County DSS/Preschool Special Education Program - Written Notification Regarding Use of Public Benefits or Insurance to Pay for Certain Special Education and Related Services**

#### **Other Uses and Disclosures that Do Not Require your Permission:**

In addition to treatment, payment, and health care operations, Ulster County will use your information without your permission for the following reasons:

- When we are **required to do so by federal or state law**.
- For **public health reasons**, including prevention and control of disease, injury or disability, reporting births and deaths, reporting child abuse or neglect, reporting reactions to medication or problems with products, and to notify people who may have been exposed to a disease or are at risk of spreading the disease.
- To report **domestic violence and adult abuse or neglect** to government authorities if necessary to prevent serious harm.
- For **health oversight activities**, including audits, investigations, surveys and inspections, and licensure. These activities are necessary for government to monitor the health care system, government programs, and compliance with civil rights laws. Health oversight activities do not include investigations that are not related to the receipt of health care or receipt of government benefits in which you are the subject.
- For **judicial and administrative proceedings**, including hearings and disputes. If you are involved in a court or administrative proceeding we will disclose information if the judge or presiding officer orders us to share the information.
- For **law enforcement purposes**, in response to a court order or subpoena, to report a possible crime, to identify a suspect or witness or missing person, to provide identifying data in connection with a criminal investigation, and to the district attorney in furtherance of a criminal investigation of client abuse.
- Upon your death, to **coroners or medical examiners** for identification purposes or to determine cause of death, and to **funeral directors** to allow them to carry out their duties.

- To organ procurement organizations to accomplish cadaver, eye, tissue, or **organ donations** in compliance with state law.
- For **research** purposes when you have agreed to participate in the research and the Corporate Compliance Committee has approved the use of the clinical information for the research purposes.
- To **prevent or lessen a serious and imminent threat** to your health and safety or someone else's.
- To authorized federal officials for intelligence and other **national security** activities authorized by law or to provide **protective services to the President** and other officials.
- To **correctional institutions** or **law enforcement officials** if you are an inmate and the information is necessary to provide you with health care, protect your health and safety or that of others, or for the safety of the correctional institution.
- To **governmental agencies that administer public benefits** if necessary to coordinate the covered functions of the programs.

### **Uses and Disclosures that Require Your Agreement:**

Ulster County may disclose information to the following persons if we tell you we are going to use or disclose it and you agree or do not object:

- To **family members and personal representatives** who are involved in your care if the information is relevant to their involvement and to notify them of your condition and location.
- To **disaster relief organizations** that need to notify your family about your condition and location should a disaster occur.
- To disclose **psychotherapy notes**.
- For **fundraising** purposes, we may disclose information to a charitable program that assists us in fundraising with your permission. You have the right to refuse or opt out if you previously agreed to communications regarding fundraising.
- For marketing of health-related services, we will not use your health information for marketing communications without your permission.

### **Authorization Required For All Other Uses and Disclosures:**

- For all other types of uses and disclosures not described in this Notice, Ulster County will use or disclose information only with a written authorization signed by you that states who may receive the information, what information is to be shared, the purpose of the use or disclosure and an expiration for the authorization. Written authorizations are always required for use and disclosure for marketing purposes, such as agency newsletters and press releases.

**Note:** If you cannot give permission due to an emergency, Ulster County may release information in your best interest. We must tell you as soon possible after releasing the information.

You may revoke your authorization at any time. If you revoke your authorization in writing we will no longer use or disclose your information for the reasons stated in your

authorization. We cannot, however, take back disclosures we made before you revoked and we must retain information that indicates the services we have provided to you.

**Changes to this Notice:**

**We reserve the right to change this Notice.** We reserve the right to make changes to terms described in this Notice and to make the new notice terms effective to all information that Ulster County maintains. We will post the new notice with the effective date on our website at <http://www.co.ulster.ny.us/social-services/early-intervention.html> and in our facilities. In addition, we will offer you a copy of the revised notice at your next scheduled visit or provision of service.

**Complaints:**

If you believe your privacy rights have been violated, you may file a complaint with:

Philip Cataldi, Esq., Privacy Officer  
Ulster County Department of Social Services  
1061 Development Court  
Kingston, NY 12401-1959  
(845) 334-5290  
[pcat@co.ulster.ny.us](mailto:pcat@co.ulster.ny.us):

- Or, you may contact the Director of Office for Civil Rights, U.S. Department of Health and Human Services, 200 Independence Avenue, S.W., Room 509F HHH Bldg., Washington, D.C. 20201, and Secretary of the Department of Health and Human Services. Alternatively, you may call them at (877) 696-6775.
- Or, you may file a grievance with the Office of Civil Rights by calling or writing Region II – US Department of Health and Human Services, Jacob Javits Federal Building, 26 Federal Plaza, Suite 3312, New York, New York 10278, Voice Phone (800) 368-1019, FAX (212) 264-3039, TDD (800) 537-7697.

All complaints must be submitted in writing. **You will not be penalized for filing a complaint.**